

# Thomson Reuters AML/CFT Workflow & Guidance The ultimate AML/CFT compliance roadmap

Available exclusively on the Westlaw NZ platform, Thomson Reuters and AML/CFT expert lawyer Gary Hughes have partnered to produce *AML/CFT Workflow & Guidance* – a best practice workflow tool designed to explain in detail what lawyers need to do to meet the compliance requirements of the AML/CFT regime and its regulators.



## Overview

In the *AML/CFT Workflow & Guidance* modules author Gary Hughes:

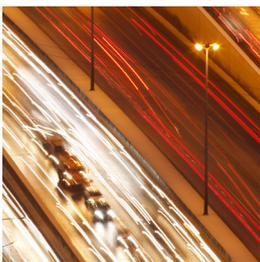
- Describes every major aspect of the AML/CFT regime;
- Advises lawyers on how to ensure that their AML/CFT compliance documentation not only complies with the AML/CFT Act and Regulations but will also withstand the scrutiny of the Department of Internal Affairs (the AML/CFT Supervisor).



## What does each module provide?

*AML/CFT Workflow & Guidance* equips lawyers and compliance officers with:

- The information they need to fully understand the AML/CFT regime;
- The steps that they need to take to be fully compliant with the regime.
- Advice and tips on drafting and structuring AML/CFT documentation that will show the AML/CFT Supervisor that they have properly understood and engaged with the regime;
- Advice on traps to avoid when developing their AML/CFT compliance programmes;
- Ways to ensure that law firms' AML/CFT programmes are subjected to ongoing monitoring and constant quality control.



Written by a lawyer to meet the needs of lawyers, *AML/CFT Workflow & Guidance* is:

- **The most comprehensive guide on the market for complying with Phase 2 of the AML/CFT regime!**
- **Written with the compliance officer in mind.**
- **A must have for all law firms and compliance officers who wish to ensure that they adopt a “best practice” approach to AML/CFT compliance.**

For more information please contact your Thomson Reuters account manager or simply email [marketingnz@thomsonreuters.com](mailto:marketingnz@thomsonreuters.com)

## Benefit from detailed step-by step expert guidance on:

- The kinds of conduct that fall within the legal definition of Financial Crime, including within the definition of money laundering (ML) and terrorism financing (FT).
- The compliance requirements in the AML/CFT Act 2009
- The responsibilities of AML/CFT Compliance Officers
- The nature and contents of AML/CFT Risk Assessments
- Preparing the AML/CFT Compliance Programme
- Developing Client Due Diligence materials
- Dealing with requirements for Suspicious Activity Reports (SARs) and Prescribed Transaction Reports (PTRs)
- Dealing with the AML/CFT Supervisor
- Ongoing review and AML/CFT Audits; and
- The AML/CFT enforcement process.

## Who is Gary Hughes?



Gary is a senior lawyer providing advocacy, dispute resolution and strategic risk management advice, specialising in all types of regulatory investigations and cases. He is widely regarded as New Zealand's leading lawyer for Anti-Money Laundering expertise,

having advised/represented around 150 financial reporting entities (and a few lawyers) grappling with AML law since 2008 – and before that worked on AML cases in London.

In 2016 Gary commenced practice as a barrister sole. He was previously a partner at Wilson Harle, and before that with Chapman Tripp (Auckland) and Clyde & Co (London).

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